

## Representative Legal Matters

### Jonathan Peddie

Jonathan's significant investigations and enforcement actions include:

- FSA/FCA and SFO investigations into Barclays' capital-raising agreements with the Qatari sovereign wealth fund and subsequent prosecutions
- Bribery and corruption investigations involving introducer agreements in Mongolia
- Benchmark fixing investigations and remediation programme on LIBOR and FX
- Sanctions breach and remediation, NPA with US regulatory authorities and oversight of the subsequent supervisory period
- Bribery and corruption compliance remediation programme under supervision by the US DOJ and SEC
- Senior executive misconduct investigation and subsequent regulatory enforcement action involving the FCA, SEC and NY Federal Reserve
- Investigation into a breach of insider list by a senior executive and subsequent exits and risk assurance/remediation program
- Bribery and corruption investigation and self-report to UK and US law enforcement agencies and remediation programmes for a UK listed company
- Fraud management compliance remediation programme and regulatory engagement for an international bank
- Investigation, multi-authority regulatory enforcement defence and remediation programme on listing rules matter for executives of a UK listed bank
- Investigation, US and UK regulatory reporting and remediation programme on sanctions compliance matters for executives of a UK listed bank
- Investigation by the UK Department for Business, Energy and Industrial Strategy into wrongful/fraudulent trading by a UK listed entity
- Investigation and US and UK regulatory reporting on sanctions compliance matters for an Asian bank
- Investigations for a UK/Canadian financial services company into alleged mis-selling and senior executive misconduct
- Investigation into allegations of fraud and corruption by executives of a bank in Tbilisi, Georgia

- Investigation and regulatory proceedings by Ofcom into the conduct of the UK bureau of a global media company and subsequent public law challenge
- Investigation into procurement fraud by an employee of a UK listed IT company
- Investigation and regulatory engagement on sovereign ratings methodologies for a credit rating agency
- Investigation and regulatory engagement in relation to conduct of wholesale electricity market bidding process in the UK
- Fraud controls conformance review for a UK listed bank
- Public law challenge to UK Government net zero commitment (pro bono)
- UK Rail Accident and Investigation Branch post-incident safety investigations for a major UK rail infrastructure and maintenance supplier
- Security and extraction advice for executives and family members of a US company
- Defence of an employee of a UK listed broadcaster in criminal proceedings

Significant litigation matters include:

- Representing Philip Morris International in two successful High Court actions and subsequent appeals concerning the English Courts' approach to construction of contractual terms
- Representing Barclays Bank PLC, with other retail banks, in a landmark consumer test case concerning the application of the Unfair Terms in Consumer Contracts Regulations 1999 to current account terms and conditions
- Representing a UK utility company in High Court proceedings concerning the funding of a digital technology joint venture
- Representing a global manufacturer in a post-acquisition completion accounts dispute in the UK High Court
- Representing a global law firm in professional negligence actions involving the private equity, automotive and banking sectors
- Acting for Barclays Bank PLC in the British Bankers' Association's challenge to the Financial Services Authority's approach to principle-based redress schemes
- Funding dispute between major South African-listed Namibian financial services companies